

Patent Application S/N 09/389,842

Attorney Docket No. P-2100

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In the Patent Application of: Earl Levine and Philip R. Wiser

Serial No. 09/389,842

Art Unit: 2787

Filed: September 2, 1999

Examiner: Unknown

For: **SERVER-SIDE WATERMARK DATA WRITING METHOD AND APPARATUS FOR DIGITAL SIGNALS**

Box: Missing Parts

Hon. Assistant Commissioner for Patents  
Washington, D.C. 20231

I, JAMES D. IVEY, certify that this paper or fee and the listed enclosed papers, and return postcard are being deposited with the U.S. Postal Service with sufficient postage as First Class Mail addressed to Box: Missing Parts, Honorable Assistant Commissioner for Patents, Washington, D.C. 20231 on November 12, 1999.

November 12, 1999

Dear Sirs:

James D. Ivey, Reg. No. 37,016

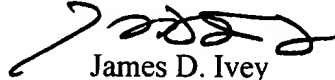
**RESPONSE TO NOTICE TO FILE MISSING PARTS -- FILING DATE GRANTED**

The following papers are submitted in response to the Notice to File Missing Parts --  
Filing Date Granted mailed on September 22, 1999.

1. A copy of the Notice to File Missing Parts (Form PTO-1533) supplied by the Patent Office (1 page);
2. Signed Declaration for Patent Application (2 pages);
3. Assignment of the invention to: Liquid Audio, Inc. (1 page);
4. Assignment Recordation Coversheet (1 page);
5. A check in the amount of \$1,890.00 for surcharge fees (\$130.00), filing fees (\$1,720.00), and Assignment Recordation fees (\$40.00);
6. Request for Corrected Filing Receipt (1 page);
7. Information Disclosure Statement with certification of mailing by First Class Mail (2 pages);
8. Information Disclosure Statement form — list of documents (1 page); and
9. Four (4) disclosed documents.

Applicants respectfully submit that the referenced application is now in a condition for examination. Any questions or comments with respect to the referenced application or with respect to this paper should be directed to the undersigned by telephone at (510) 336-1100.

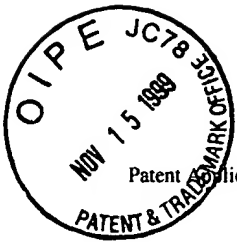
Respectfully submitted,



James D. Ivey  
Attorney for Applicant(s)  
Reg. No. 37,016

**LAW OFFICES OF JAMES D. IVEY**  
3025 Totterdell Street  
Oakland, California 94611-1742  
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November 12, 1999.

November 12, 1999

Dear Sirs:


  
James D. Ivey, Reg. No. 37,016

**REQUEST FOR CORRECTED FILING RECEIPT**

Applicants respectfully note that one of the inventors listed on the filing receipt in the referenced application should read "Philip R. Wiser" and not "Philip J. Wiser" as indicated on the enclosed executed Declaration for Patent Application. Applicants respectfully request a corrected filing receipt.

Any questions or comments with respect to the referenced application or with respect to this paper should be directed to the undersigned by telephone at (510) 336-1100.

Respectfully submitted,



James D. Ivey  
Attorney for Applicants  
Reg. No. 37,016

**LAW OFFICES OF JAMES D. IVEY**  
3025 Totterdell Street  
Oakland, California 94611-1742  
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UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

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PATENT NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/389,842	09/02/99	LEVINE	E P-2100

09/389,842 09/02/99 LEVINE

E P-2100

0212/0922

JAMES D IVEY  
LAW OFFICES OF JAMES D IVEY  
3025 TOTTERDELL STREET  
OAKLAND CA 94611-1742

NOT ASSIGNED

DATE MAILED: 2787

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

09/22/99

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a  
☐ small entity (statement filed) ☐ non-small entity is \$ 760.00.

☒ 1. The statutory basic filing fee is:

- ☒ missing.
- ☐ insufficient.

Applicant must submit \$ 760.00 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. The following additional claims fees are due:

\$ 114.00 for 23 total claims over 20.  
\$ 546.00 for 7 independent claims over 3.  
\$ \_\_\_\_\_ for multiple dependent claim surcharge.

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01 FC:101	760.00 DP
02 FC:102	546.00 DP
03 FC:103	414.00 DP
04 FC:105	130.00 DP

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☐ 3. The oath or declaration:

- ☐ is missing or unsigned.
- ☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.47(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice **MUST** be returned with the reply.

SEP 27 1999

Customer Service Center  
Initial Patent Examination Division (703) 308-1000

Law Offices of  
James D. Ivey

Received